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(to be used for all correspondence after initial filing)



Gomringer

Approved for use through 10/31/2002. QMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to a collection of information unless it displays a valid OMB control number. 09/963.817 -Application Number **Filing Date** 9/26/01 RANSMITTAL FORM

Group Art Unit

First Named Inventor

Examiner Name Total Number of Pages in this Submission 3 70800.01 Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an application) to Group Fee Attached Drawing(s) Appeal Communication to Board of Appeals and Interferences Amendment/ Reply Licensing-related Papers Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Petition to Convert to a Affidavits/declaration(s) **Provisional Application** Status Letter Extension of Time Request Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please identify below): Express Abandonment Request Terminal Disclaimer Information Disclosure Statement Request for Refund Certified Copy of Priority CD, Number of CD(s) Document(s) Response to Missing Parts/ Incomplete Application Remarks Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Michael E. Klicpera, Esq. Individual Name Signature way Kense Date October 16, 2001 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: October 16, 2001 Typed or printed name Bernadette M. Salinas Date: Signature October 16, 2001

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PTO/SB/17 (11-00)

proved for use through 10/31/2002. OMB 0651-0032

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| OTPREE TRANSMITTAL | | Complete if Known | | | | | |
|--|----------|----------------------|------------|--|--|--|--|
| N PECT I LYAINOINI | HIAL | Application Number | 09/963,817 | | | | |
| for FY 2001 Petent fees are subject to annual revision. | | Filing Date | 9/26/01 | | | | |
| | | First Named Inventor | Gomringer | | | | |
| | | Examiner Name | | | | | |
| . Æ | | Group Art Unit | | | | | |
| TOTAL ANOUNT OF PAYMENT | (\$) 130 | Attorney Docket No. | 70800.01 | | | | |

| METHOD OF PAYMENT | | FEE CALCULATION (continued) | | | | |
|---|---|-----------------------------|----------|---------------------------|---|--|
| The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: | | DDITI Entity | | FEES Entity | | |
| Deposit Account Number | Fee Fee Fee Fee Code (\$) Code (\$) Fee Description 105 130 205 65 Surcharge - late filling fee or oath | | | | | |
| Deposit Account Name Interventional Technologies Inc. | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| | 139 | 130 | 139 | 130 | Non-English specification | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. | | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| | | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| See 37 CFR 1.27 | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 2. Payment Enclosed: Check Credit card Money Other Order | | 110 | 215 | 55 | Extension for reply within first month Extension for reply within second month Extension for reply within third month | |
| | | 390 | 216 | 195 | Extension for reply within second month | |
| FEE CALCULATION | 117 | 890 | 217 | 445 | | |
| | 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 1. BASIC FILING FEE Large Entity Small Entity | 128 | 1,890 | 228 | 945 | Extension for reply within fifth month Notice of Appeal | |
| Fee Fee Fee Fee Description Fee Paid | 119 | 310 | 219 | 155 | Notice of Appeal | |
| Code (\$) Code (\$) 101 710 201 355 Utility filing fee | 120 | 310 | 220 | 155 | Filing a brief in support of an appeal Request for oral hearing Petition to institute a public use proceeding 9 | |
| 106 320 206 160 Design filing fee | 121 | 270 | 221 | 135 | Request for oral hearing | |
| 107 490 207 245 Plant filing fee | 138 | 1,510 | 138 | 1,510 | | |
| 108 710 208 355 Reissue filing fee | 140 | 110 | 240 | 55 | Petition to revive - unavoidable Petition to revive - unintentional Utility issue fee (or reissue) | |
| 114 150 214 75 Provisional filing fee | 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 114 130 214 73 Plovisionariumg lee | 142 143 | 1,240 | 242 | 620 | · · · · · · · · · · · · · · · · · · · | |
| SUBTOTAL(1) (\$) | | 440 | 243 | 220 | Plant issue fee Plant issue fee Petitions to the Commissioner | |
| | | 600 | 244 | 300 | Plant issue fee | |
| 2. EXTRA CLAIM FEES Fee from | 122 | 130 | 122 | 130 | | |
| Total Claims -20** = X = Total Claims -20** = X = Total Claims | 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| independent -3** = X = | 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| Claims — — — — — — — — — — — — — — — — — — — | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| Multiple Dependent = | 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$) | 149 | 710 | 249 | 355 | For each additional invention to be Examined (37 CFR 1.129(b)) | |
| 103 18 203 9 Claims in excess of 20 | 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid 109 80 209 40 **Reissue_independent claims over original patent | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| over original patent 110 18 210 9 **Reissue claims in excess of 20 and over original patent | Other | fee (sp | ecify) _ | | | |
| SUBTOTAL(2) (\$) *Reduced by Basic Filing Fee Paid SUBTOTAL(3) (\$) 130 | | | | Paid SUBTOTAL(3) (\$) 130 | | |
| , | | | | | | |

| SUBMITTED BY | | | Complete (if applicable) | | |
|-------------------|---------------------------|--------------------------------------|--------------------------|-----------|----------------|
| Name (Print/Type) | Michael E. Klicpera, Esq. | Registration No. (Attorney/Agent) | 38,044 | Telephone | (858) 268-4488 |
| Signature | malang Kange | | | Date | 10/16/01 |

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